

Introduction to Game Theory and Applications

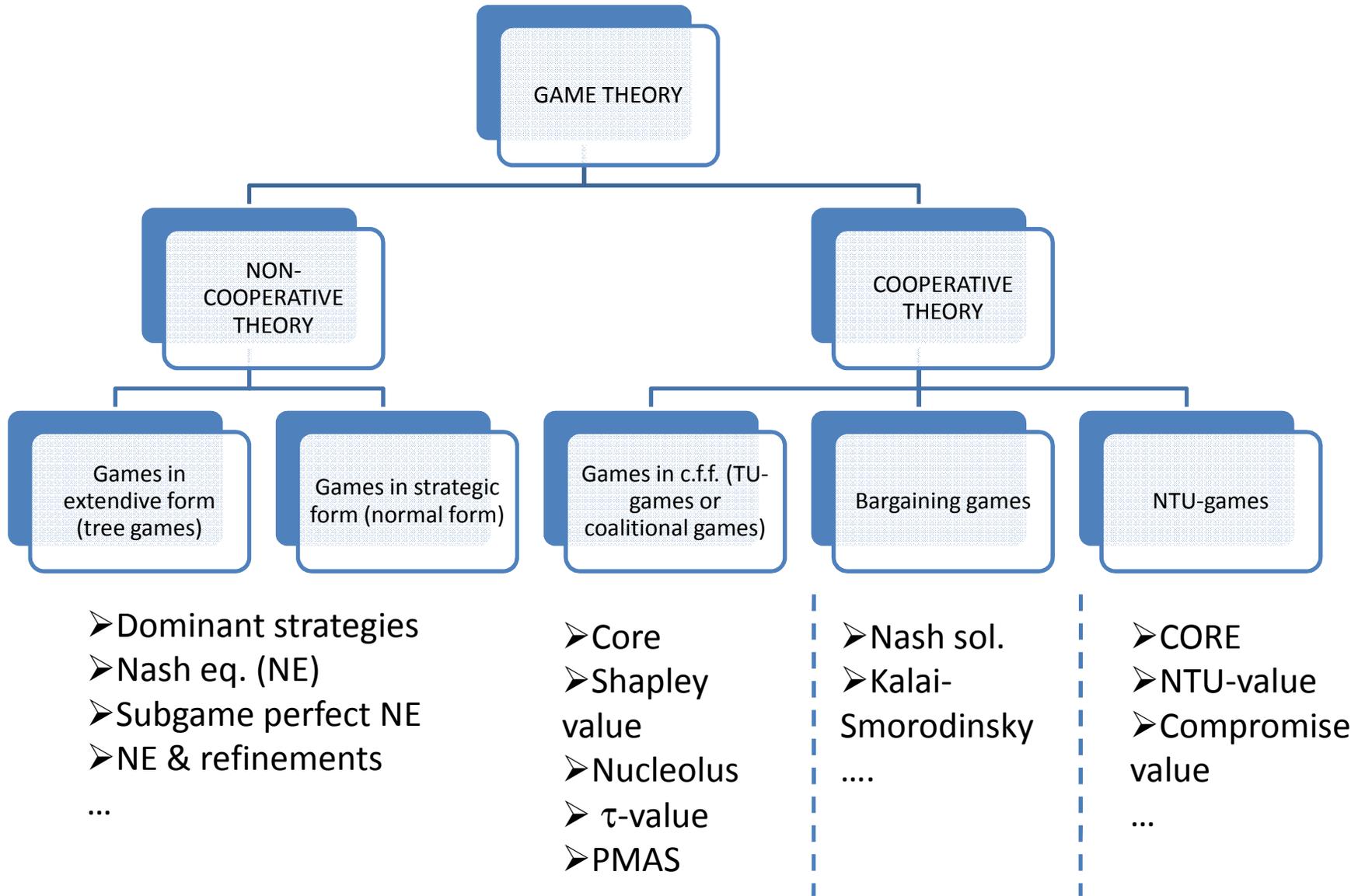
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No binding agreements
No side payments
Q: Optimal behaviour in conflict situations

....
binding agreements
side payments are possible (sometimes)
Q: Reasonable (cost, reward)-sharing

How to share $v(N)$...

- The Core of a game can be used to exclude those allocations which are *not stable*.
- But the core of a game can be a bit “*extreme*” (see for instance the glove game)
- Sometimes the core is *empty* (see for example the game with pirates)
- And if it is not empty, there can be many allocations in the core (*which is the best?*)

An axiomatic approach (Shapley (1953))

- Similar to the approach of Nash in bargaining:
which properties an allocation method should satisfy in order to divide $v(N)$ in a reasonable way?
- Given a subset \mathbf{C} of \mathbf{G}^N (class of all TU-games with N as the set of players) a *(point map) solution* on \mathbf{C} is a map $\Phi: \mathbf{C} \rightarrow \mathbb{R}^N$.
- For a solution Φ we shall be interested in various properties...

Symmetry

PROPERTY 1(SYM) For all games $v \in \mathbf{G}^N$,

If $v(S \cup \{i\}) = v(S \cup \{j\})$ for all $S \in 2^N$ s.t. $i, j \in N \setminus S$,
then $\Phi_i(v) = \Phi_j(v)$.

EXAMPLE

Consider a TU-game $(\{1,2,3\}, v)$ s.t. $v(1) = v(2) = v(3) = 0$,
 $v(1, 2) = v(1, 3) = 4$, $v(2, 3) = 6$, $v(1, 2, 3) = 20$.

Players 2 and 3 are symmetric. In fact:

$$v(\emptyset \cup \{2\}) = v(\emptyset \cup \{3\}) = 0 \text{ and } v(\{1\} \cup \{2\}) = v(\{1\} \cup \{3\}) = 4$$

If Φ satisfies SYM, then $\Phi_2(v) = \Phi_3(v)$

Efficiency

PROPERTY 2 (EFF) For all games $v \in \mathbf{G}^N$,

$\sum_{i \in N} \Phi_i(v) = v(N)$, i.e., $\Phi(v)$ is a *pre-imputation*.

Null Player Property

DEF. Given a game $v \in \mathbf{G}^N$, a player $i \in N$ s.t.

$v(S \cup i) = v(S)$ for all $S \in 2^N$ will be said to be a null player.

PROPERTY 3 (NPP) For all games $v \in \mathbf{G}^N$, $\Phi_i(v) = 0$ if i is a null player.

EXAMPLE Consider a TU-game $(\{1,2,3\}, v)$ such that

$v(1) = 0$, $v(2) = v(3) = 2$, $v(1, 2) = v(1, 3) = 2$, $v(2, 3) = 6$,

$v(1, 2, 3) = 6$. Player 1 is a null player. Then $\Phi_1(v) = 0$

EXAMPLE Consider a TU-game $(\{1,2,3\}, v)$ such that $v(1) = 0$, $v(2) = v(3) = 2$, $v(1, 2) = v(1, 3) = 2$, $v(2, 3) = 6$, $v(1, 2, 3) = 6$. On this particular example, if Φ satisfies NPP, SYM and EFF we have that

$\Phi_1(v) = 0$ by NPP

$\Phi_2(v) = \Phi_3(v)$ by SYM

$\Phi_1(v) + \Phi_2(v) + \Phi_3(v) = 6$ by EFF

So $\Phi = (0, 3, 3)$

But our goal is to characterize Φ on \mathbf{G}^N .

One more property is needed.

Additivity

PROPERTY 2 (ADD) Given $v, w \in \mathbf{G}^N$,

$$\Phi(v) + \Phi(w) = \Phi(v + w).$$

EXAMPLE Consider two TU-games v and w on $N = \{1, 2, 3\}$

$$v(1) = 3$$

$$v(2) = 4$$

$$v(3) = 1$$

$$v(1, 2) = 8$$

$$v(1, 3) = 4$$

$$v(2, 3) = 6$$

$$v(1, 2, 3) = 10$$

 Φ $+$

$$w(1) = 1$$

$$w(2) = 0$$

$$w(3) = 1$$

$$w(1, 2) = 2$$

$$w(1, 3) = 2$$

$$w(2, 3) = 3$$

$$w(1, 2, 3) = 4$$

 Φ $=$

$$v+w(1) = 4$$

$$v+w(2) = 4$$

$$v+w(3) = 2$$

$$v+w(1, 2) = 10$$

$$v+w(1, 3) = 6$$

$$v+w(2, 3) = 9$$

$$v+w(1, 2, 3) = 14$$

 Φ

Theorem 1 (Shapley 1953)

There is a unique point map solution ϕ defined on \mathbf{G}^N that satisfies EFF, SYM, NPP, ADD. Moreover, for any $i \in N$ we have that

$$\phi_i(v) = \frac{1}{n!} \sum_{\sigma \in \Pi} m_i^\sigma(v)$$

Here Π is the set of all permutations $\sigma: N \rightarrow N$ of N , while $m_i^\sigma(v)$ is the marginal contribution of player i according to the permutation σ , which is defined as:

$v(\{\sigma(1), \sigma(2), \dots, \sigma(j)\}) - v(\{\sigma(1), \sigma(2), \dots, \sigma(j-1)\})$,
where j is the unique element of N s.t. $i = \sigma(j)$.

Probabilistic interpretation: (the “room parable”)

- Players gather one by one in a room to create the “grand coalition”, and each one who enters gets his marginal contribution.
- Assuming that all the different orders in which they enter are equiprobable, the Shapley value gives to each player her/his expected payoff.

Example

(N, v) such that

$N = \{1, 2, 3\}$,

$v(1) = v(3) = 0$,

$v(2) = 3$,

$v(1, 2) = 3$,

$v(1, 3) = 1$,

$v(2, 3) = 4$,

$v(1, 2, 3) = 5$.

Permutation	1	2	3
1,2,3	0	3	2
1,3,2	0	4	1
2,1,3	0	3	2
2,3,1	1	3	1
3,2,1	1	4	0
3,1,2	1	4	0
Sum	3	21	6
$\phi(v)$	3/6	21/6	6/6

Example

(N, v) such that

$N = \{1, 2, 3\}$,

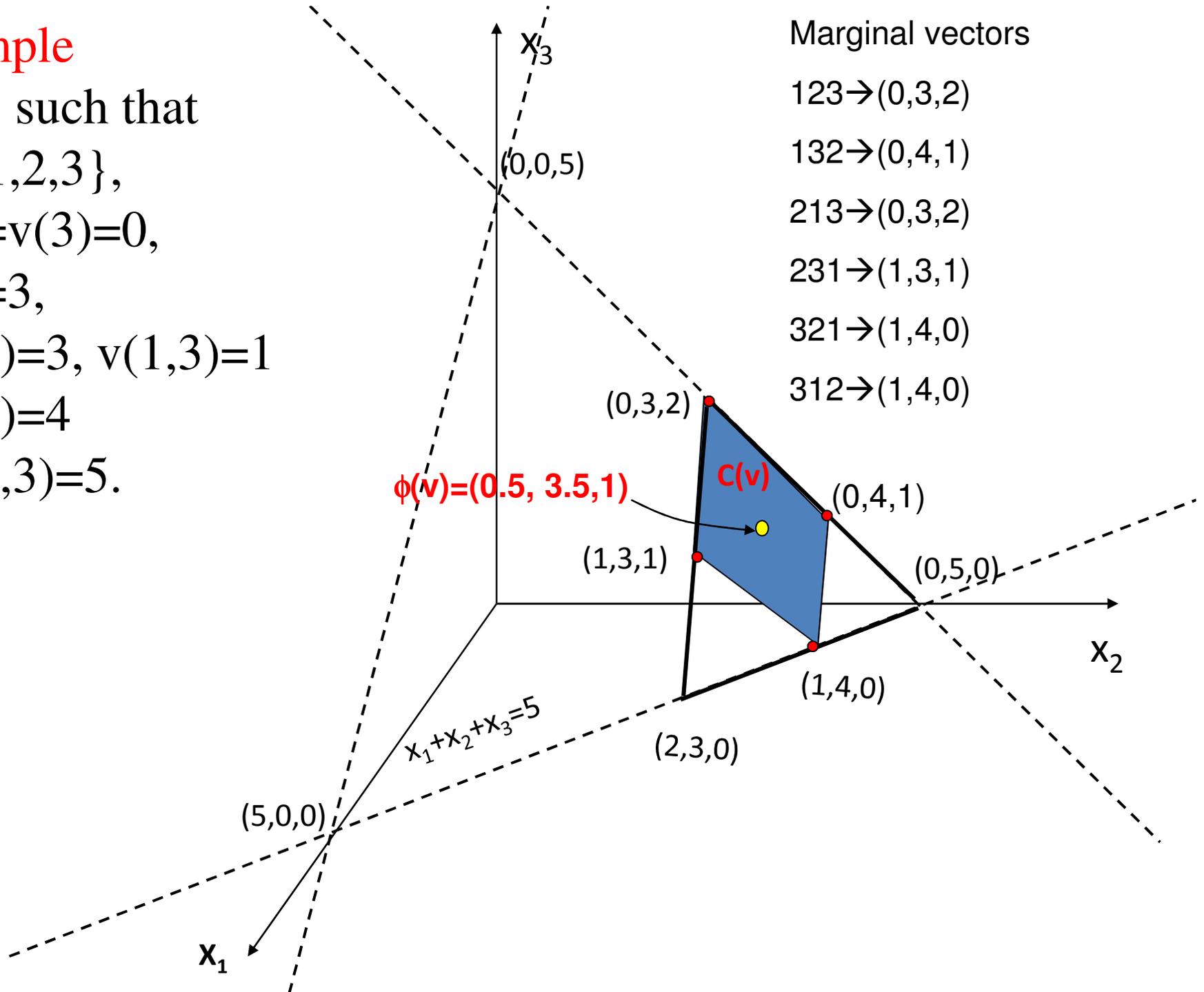
$v(1) = v(3) = 0$,

$v(2) = 3$,

$v(1, 2) = 3$, $v(1, 3) = 1$

$v(2, 3) = 4$

$v(1, 2, 3) = 5$.



Exercise

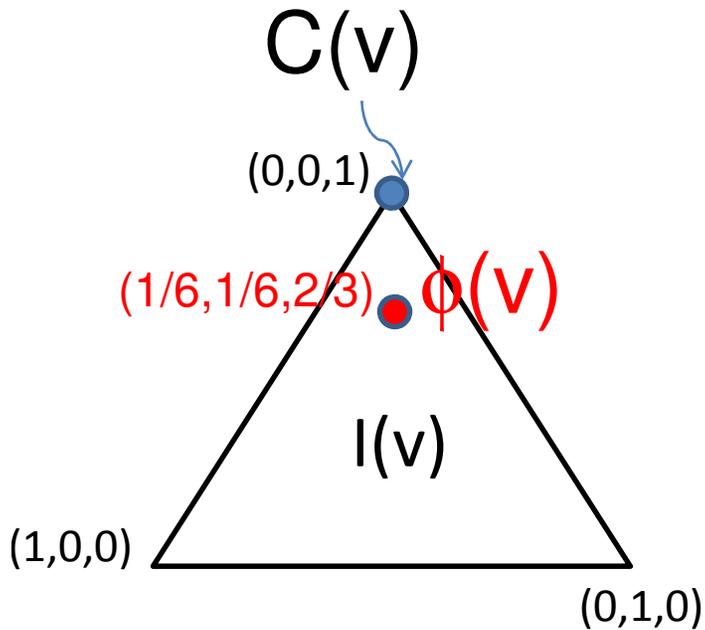
Calculate the Shapley value of the TU-game (N, v) such that $N = \{1, 2, 3\}$,
 $v(1) = 3$
 $v(2) = 4$
 $v(3) = 1$
 $v(1, 2) = 8$
 $v(1, 3) = 4$
 $v(2, 3) = 6$
 $v(1, 2, 3) = 10$

Permutation	1	2	3
1,2,3			
1,3,2			
2,1,3			
2,3,1			
3,2,1			
3,1,2			
Sum			
$\phi(v)$			

Example

(Glove game with $L=\{1,2\}$, $R=\{3\}$)

$v(1,3)=v(2,3)=v(1,2,3)=1$, $v(S)=0$ otherwise



Permutation	1	2	3
1,2,3	0	0	1
1,3,2	0	0	1
2,1,3	0	0	1
2,3,1	0	0	1
3,2,1	0	1	0
3,1,2	1	0	0
Sum	1	1	4
$\phi(v)$	1/6	1/6	4/6

Unanimity games (1)

➤ **DEF** Let $T \in 2^N \setminus \{\emptyset\}$. The *unanimity game* on T is defined as the TU-game (N, u_T) such that

$$u_T(S) = \begin{cases} 1 & \text{if } T \subseteq S \\ 0 & \text{otherwise} \end{cases}$$

- Note that the class \mathbf{G}^N of all n -person TU-games is a vector space (obvious what we mean for $v+w$ and αv for $v, w \in \mathbf{G}^N$ and $\alpha \in \mathbb{R}$).
- the dimension of the vector space \mathbf{G}^N is $2^n - 1$, where $n = |N|$.
- $\{u_T \mid T \in 2^N \setminus \{\emptyset\}\}$ is an interesting basis for the vector space \mathbf{G}^N .

Unanimity games (2)

- Every coalitional game (N, v) can be written as a linear combination of unanimity games in a unique way, i.e., $v = \sum_{S \in 2^N} \lambda_S(v) u_S$.
- The coefficients $\lambda_S(v)$, for each $S \in 2^N$, are called unanimity coefficients of the game (N, v) and are given by the formula: $\lambda_S(v) = \sum_{T \in 2^S} (-1)^{s-t} v(T)$.

EXAMPLE Unanimity coefficients of $(\{1,2,3\}, v)$

$$v(1) = 3$$

$$\lambda_1(v) = 3$$

$$\lambda_S(v) = \sum_{T \in 2^S} (-1)^{s-t} v(T)$$

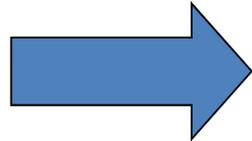
$$v(2) = 4$$

$$\lambda_2(v) = 4$$

$$v(3) = 1$$

$$\lambda_3(v) = 1$$

$$v(1, 2) = 8$$



$$\lambda_{\{1,2\}}(v) = -3-4+8=1$$

$$v(1, 3) = 4$$

$$\lambda_{\{1,3\}}(v) = -3-1+4=0$$

$$v(2, 3) = 6$$

$$\lambda_{\{2,3\}}(v) = -4-1+6=1$$

$$v(1, 2, 3) = 10$$

$$\lambda_{\{1,2,3\}}(v) = -3-4-1+8+4+6-10=0$$

$$v = 3u_{\{1\}}(v) + 4u_{\{2\}}(v) + u_{\{3\}}(v) + u_{\{1,2\}}(v) + u_{\{2,3\}}(v)$$

Sketch of the Proof of Theorem 1

- Shapley value satisfies EFF, SYM, NPP, ADD (“easy” to prove).
- Properties EFF, SYM, NPP determine ϕ on the class of all games αv , with v a unanimity game and $\alpha \in \mathbb{R}$.
 - Let $S \in 2^N$. The Shapley value of the unanimity game (N, u_S) is given by
$$\phi_i(\alpha u_S) = \begin{cases} \alpha/|S| & \text{if } i \in S \\ 0 & \text{otherwise} \end{cases}$$
- Since the class of unanimity games is a basis for the vector space, ADD allows to extend ϕ in a unique way to \mathbf{G}^N .

An alternative formulation

- Let $m_i^{\sigma'}(v) = v(\{\sigma'(1), \sigma'(2), \dots, \sigma'(j)\}) - v(\{\sigma'(1), \sigma'(2), \dots, \sigma'(j-1)\})$, where j is the unique element of N s.t. $i = \sigma'(j)$.
- Let $S = \{\sigma'(1), \sigma'(2), \dots, \sigma'(j)\}$.
- **Q:** How many other orderings $\sigma \in \Pi$ do we have in which $\{\sigma(1), \sigma(2), \dots, \sigma(j)\} = S$ and $i = \sigma(j)$?
- **A:** they are precisely $(|S|-1)! \times (|N|-|S|)!$
- Where $(|S|-1)!$ Is the number of orderings of $S \setminus \{i\}$ and $(|N|-|S|)!$ Is the number of orderings of $N \setminus S$
- We can rewrite the formula of the Shapley value as the following:

$$\phi_i(v) = \sum_{S \in 2^N : i \in S} \frac{(s-1)!(n-s)!}{n!} (v(S) - v(S \setminus \{i\}))$$

Alternative properties

- **PROPERTY 5** (Anonymity, ANON) Let $(N, v) \in \mathbf{G}^N$, $\sigma: N \rightarrow N$ be a permutation. Then, $\Phi_{\sigma(i)}(\sigma v) = \Phi_i(v)$ for all $i \in N$.
 - Here σv is the game defined by: $\sigma v(S) = v(\sigma(S))$, for all $S \in 2^N$.
 - Interpretation:** The meaning of ANON is that whatever a player gets via Φ should depend only on the *structure* of the game v , not on his “name”, i.e., the way in which he is labelled.
- **DEF** (Dummy Player) Given a game (N, v) , a player $i \in N$ s.t. $v(S \cup \{i\}) = v(S) + v(i)$ for all $S \in 2^N$ will be said to be a dummy player.
- **PROPERTY 6** (Dummy Player Property, DPP) Let $v \in \mathbf{G}^N$. If $i \in N$ is a dummy player, then $\Phi_i(v) = v(i)$.

NB: often NPP and SYM are replaced by DPP and ANON, respectively.

Characterization on a subclass

- Shapley and Shubik (1954) proposed to use the Shapley value as a power index,
- ADD property does not impose any restriction on a solution map defined on the class of simple games S^N , which is the class of games such that $v(S) \in \{0,1\}$ (often is added the requirement that $v(N) = 1$).
- Therefore, the classical conditions are not enough to characterize the Shapley–Shubik value on S^N .
- We need a condition that resembles ADD and can substitute it to get a characterization of the Shapley–Shubik (Dubey (1975)) index on S^N :

PROPERTY 7 (Transfer, TRNSF) For any $v, w \in S(N)$, it holds:

$$\Phi(v \vee w) + \Phi(v \wedge w) = \Phi(v) + \Phi(w).$$

Here $v \vee w$ is defined as $(v \vee w)(S) = (v(S) \vee w(S)) = \max\{v(S), w(S)\}$,
and $v \wedge w$ is defined as $(v \wedge w)(S) = (v(S) \wedge w(S)) = \min\{v(S), w(S)\}$,

Reformulations

Other axiomatic approaches have been provided for the Shapley value, of which we shall briefly describe those by Young and by Hart and Mas-Colell.

PROPERTY 8 (Marginalism, MARG) A map $\Psi : \mathbf{G}^N \rightarrow \mathbf{IR}^N$ satisfies MARG if, given $v, w \in \mathbf{G}^N$, for any player $i \in N$ s.t. $v(S \cup \{i\}) - v(S) = w(S \cup \{i\}) - w(S)$ for each $S \in 2^N$,

the following is true:

$$\Psi_i(v) = \Psi_i(w).$$

Theorem 2 (Young 1988) There is a unique map Ψ defined on $\mathbf{G}(N)$ that satisfies EFF, SYM, and MARG. Such a Ψ coincides with the Shapley value.

EXAMPLE Two TU-games v and w on $N=\{1,2,3\}$

$$v(1) = 3$$

$$v(2) = 4$$

$$v(3) = 1$$

$$v(1, 2) = 8$$

$$v(1, 3) = 4$$

$$v(2, 3) = 6$$

$$v(1, 2, 3) = 10$$

$$w(1) = 2$$

$$w(2) = 3$$

$$w(3) = 1$$

$$w(1, 2) = 2$$

$$w(1, 3) = 3$$

$$w(2, 3) = 5$$

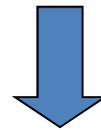
$$w(1, 2, 3) = 4$$

$$w(\emptyset \cup \{3\}) - w(\emptyset) = v(\emptyset \cup \{3\}) - v(\emptyset) = 1$$

$$w(\{1\} \cup \{3\}) - w(\{1\}) = v(\{1\} \cup \{3\}) - v(\{1\}) = 1$$

$$w(\{2\} \cup \{3\}) - w(\emptyset) = v(\{2\} \cup \{3\}) - v(\emptyset) = 1$$

$$w(\{1,2\} \cup \{3\}) - w(\{1,2\}) = v(\{1,2\} \cup \{3\}) - v(\{1,2\}) = 1$$



$$\Psi_3(v) = \Psi_3(w).$$

Potential

- A quite different approach was pursued by Hart and Mas-Colell (1987).
- To each game (N, v) one can associate a real number $P(N, v)$ (or, simply, $P(v)$), its *potential*.
- The “partial derivative” of P is defined as

$$D^i(P)(N, v) = P(N, v) - P(N \setminus \{i\}, v_{|_{N \setminus \{i\}}})$$

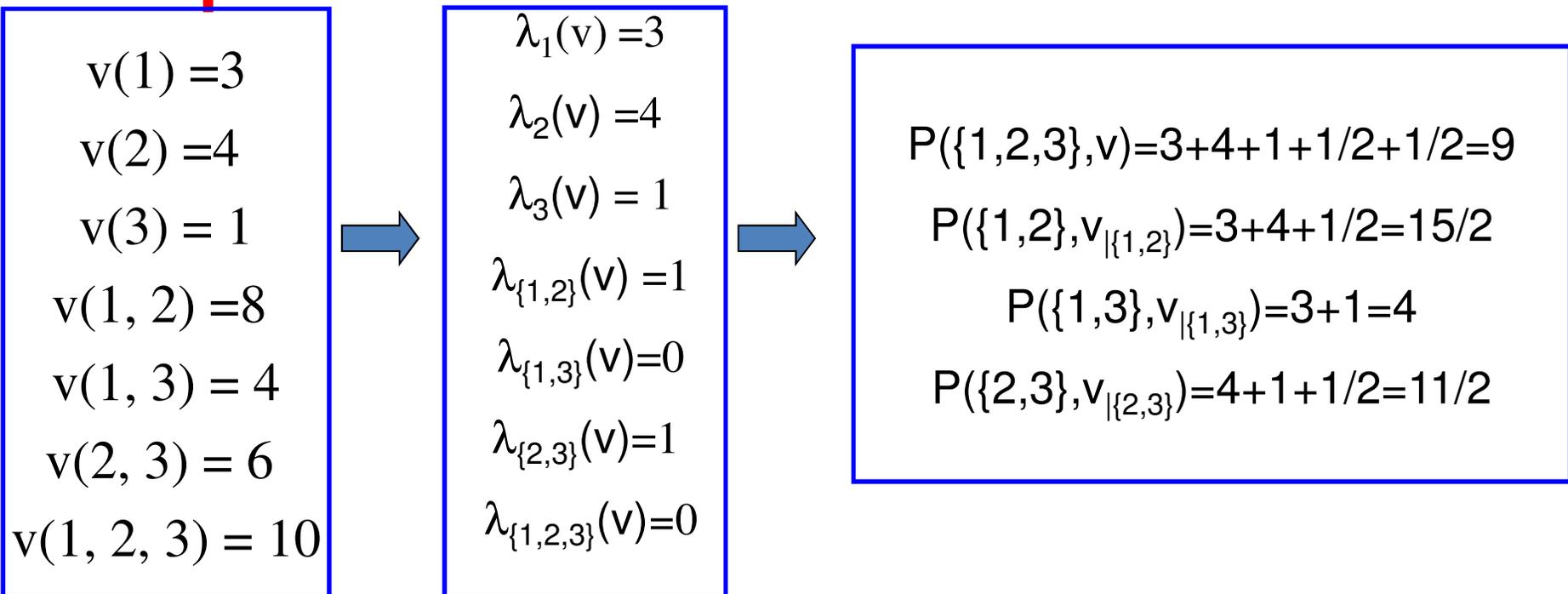
Theorem 3 (Hart and Mas-Colell 1987) There is a unique map P , defined on the set of all finite games, that satisfies:

- 1) $P(\emptyset, v_0) = 0$,
- 2) $\sum_{i \in N} D^i P(N, v) = v(N)$.

Moreover, $D^i(P)(N, v) = \phi_i(v)$. [$\phi(v)$ is the Shapley value of v]

- there are formulas for the calculation of the potential.
- For example, $P(N, v) = \sum_{S \in 2^N} \lambda_S / |S|$ (*Harsanyi dividends*)

Example



$$\phi_1(v) = P(\{1,2,3\}, v) - P(\{2,3\}, v_{|\{2,3\}}) = 9 - 11/2 = 7/2$$

$$\phi_2(v) = P(\{1,2,3\}, v) - P(\{1,3\}, v_{|\{2,3\}}) = 9 - 4 = 5$$

$$\phi_3(v) = P(\{1,2,3\}, v) - P(\{1,2\}, v_{|\{2,3\}}) = 9 - 15/2 = 3/2$$

Communication networks

Networks → several interpretations:

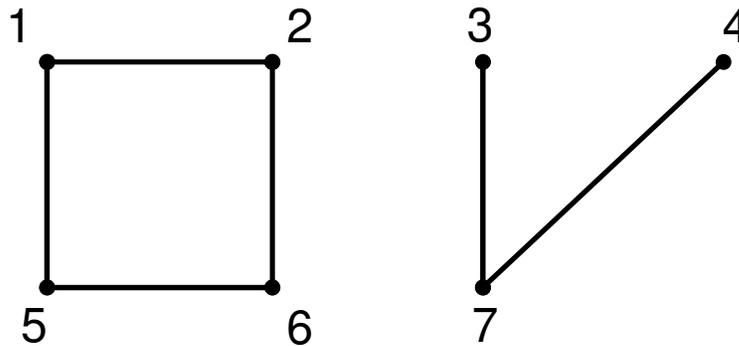
- A way to describe games in extensive form
- Physical connections between individuals, companies, cities...
- Cooperation or communication restrictions between players
 - communications can be described as undirected or directed *graphs, hypergraphs, partitions.*

Communication networks as undirected graphs:

- An *undirected graph* is a pair (N,L) where
- N is a set of *vertices* (later, *agents* or *players*)
- $L = \{ \{i,j\} \mid \{i,j\} \subseteq N, i \neq j \}$ is the set of *edges* (bilateral *communication links*)
- A communication graph (N,L) should be interpreted as a way to model restricted cooperation:
 - Players can cooperate with each other if they are connected (*directly*, or *indirectly* via a path)
 - Indirect communication between two players requires the cooperation of players on a connecting path.

Example

Consider the undirected graph (N,L) with $N=\{1,2,3,4,5,6,7\}$ and $L=\{\{1,2\}, \{2,6\}, \{5,6\}, \{1,5\}, \{3,7\}, \{4,7\}\}$



Some notations:

$$L_2 = \{\{1,2\}, \{2,6\}\}$$

$$N \setminus L = \{\{1,2,5,6\}, \{3,4,7\}\}$$

set of components

$$L_{-2} = \{\{5,6\}, \{1,5\}, \{3,7\}, \{4,7\}\} \quad N \setminus L_{-2} = \{\{1,5,6\}, \{3,4,7\}, \{2\}\}$$

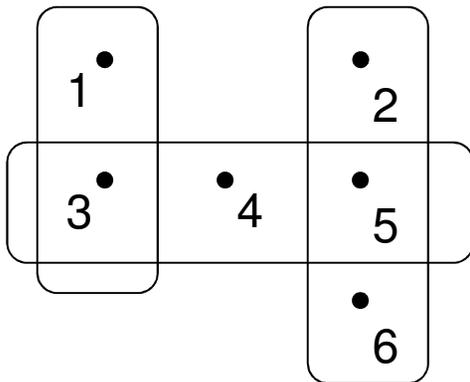
$$N(\{\{1,2\}, \{2,6\}, \{3,7\}\}) = \{1,2,6,3,7\}$$

Communication within hypergraphs

- A *hypergraph* is a pair (N, C) with N the player set and C a family of subsets of N .
- An element $H \in C$ is called a *conference*.
- **Interpretation:** communication between players in a hypergraph can only take place within a conference.

Example

Consider the hypergraph (N, C) with $N = \{1, 2, 3, 4, 5, 6\}$ and $C = \{\{1, 3\}, \{3, 4, 5\}, \{2, 5, 6\}\}$



Some notations:

A path from 1 to 2: $(1, \{1, 3\}, 3, \{3, 4, 5\}, 4, \{3, 4, 5\}, 5, \{2, 5, 6\}, 2)$

$N \setminus C = \{N\}$ **set of components**

If $R = \{1, 2, 3, 4, 5\}$ then $R \setminus C = \{\{1, 3, 4, 5\}, \{2\}\}$

Communication within cooperation structure

- A *cooperation structure* is a pair (N, B) with N the player set and B a partition of the player set N .
- **Interpretation:** communication between players in a hypergraph can only take place between any subset of an element of the cooperation structure → *Coalition structure* (Aumann and Dréze (1974), Myerson (1980), Owen (1977)).

Cooperative games with restricted communication

- A cooperative game describes a situation in which all players can freely communicate with each other.
- Drop this assumption and assume that communication between players is restricted to a set of communication possibilities between players.
- $L = \{ \{i,j\} \mid \{i,j\} \subseteq N, i \neq j \}$ is the set of *edges* (bilateral communication links)
- A communication graph (N,L) should be interpreted as a way to model restricted cooperation:
 - Players can cooperate with each other if they are connected (*directly, or indirectly* via a path)
 - Indirect communication between two players requires the cooperation of players on a connecting path.

Communication situations (Myerson (1977))

- A *communication situation* is a triple (N, v, L)
 - (N, v) is a n -person TU-game (represents the economic possibilities of coalitions)
 - (N, L) is a communication network (represents restricted communication possibilities)
- The *graph-restricted game* (N, v^L) is defined as

$$v^L(T) = \sum_{C \in T \setminus L} v(C)$$

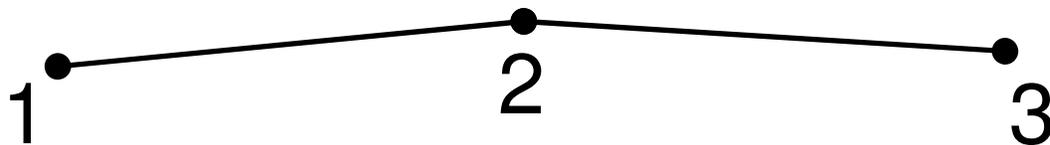
For each $S \in 2^N \setminus \{\emptyset\}$.

Recall that $T \setminus L$ is the set of maximal connected components in the restriction of graph (N, L) to T

Example

A weighted majority game $(\{1,2,3\}, v)$ with the winning quote fixed to $2/3$ is considered. The votes of players 1, 2, and 3 are, respectively, 40%, 20%, and 40%. Then, $v(1,3)=v(1,2,3)=1$ and $v(S)=0$ for the remaining colitions.

The communication network is



Then,

$v^L(1,2,3)=1$, and $v^L(S)=0$ for the other coalitions.

Solutions for communication situations

- Myerson (1977) was the first to study solutions for communication situations.
- A solution Ψ is a map defined for each communication situation (N, v, L) with value in \mathbb{R}^N .

PROPERTY 9 Component Efficiency (CE)

For each communication situation (N, v, L) and all $C \in NL$ it holds that

$$\sum_{i \in S} \Psi_i(N, v, L) = v(C).$$

- Property 9 is an “efficiency” condition that is assumed to hold only for those coalitions whose players are able to communicate effectively among them and *are not connected to other players*. (maximal connected components)

Solutions for communication situations

PROPERTY 10 Fairness (F) For each communication situation (N, v, L) and all $\{i, j\} \in L$ it holds that

$$\Psi_i(N, v, L) - \Psi_i(N, v, L \setminus \{\{i, j\}\}) = \Psi_j(N, v, L) - \Psi_j(N, v, L \setminus \{\{i, j\}\}).$$

- Property 10 says that two players should gain or lose in exactly the same way, when a direct link between them is established (or deleted).

Myerson value

Theorem 4 (Myerson (1977))

There exists a unique solution $\mu(N, v, L)$ which satisfies CE and F on the class of communication situations. Moreover,

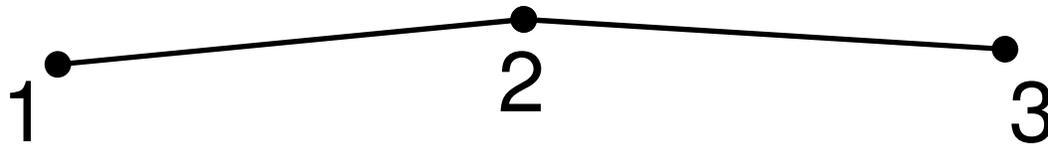
$$\mu(N, v, L) = \phi(v^L)$$

where $\phi(v^L)$ is the shapley value of the graph-restricted game v^L .

Example

A weighted majority game $(\{1,2,3\}, v)$ with the winning quote fixed to $2/3$ is considered. The votes of players 1, 2, and 3 are, respectively, 40%, 20%, and 40%. Then, $v(1,3)=v(1,2,3)=1$ and $v(S)=0$ for the remaining colitions.

The communication network is



Then,

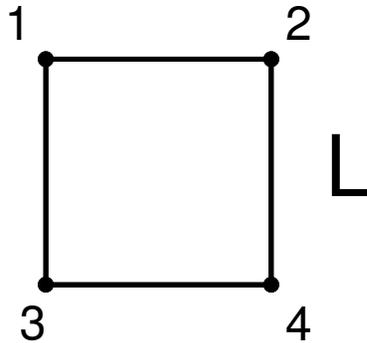
$v^L(1,2,3)=1$, and $v^L(S)=0$ for the other coalitions.

We have that

$\phi(v)=(1/2,0,1/2)$ and $\mu(N,v,L)=\phi(v^L)=(1/3,1/3,1/3)$.

Example (N, v, L) communication situation such that L is the following network and

$$V = u_{\{2,4\}}$$



Note that, for instance, $v^L(2,4) = v(2) + v(4) = 0$.

Easy to note that that $v^L = u_{\{1,2,4\}} + u_{\{2,3,4\}} - u_N$

Therefore,

$$\begin{aligned} \mu(N, v, L) &= \phi(v^L) = (1/3, 2/3, 1/3, 2/3) - (1/4, 1/4, 1/4, 1/4) \\ &= (1/12, 5/12, 1/12, 5/12) \end{aligned}$$

Application to social networks

- An application of the Shapley value, which uses both the classical one and the one by Myerson (1977), has been proposed by Gómez et al. (2003), to provide a definition of *centrality* in social networks.
- The proposal is to look at the difference between:
 - $\mu(N, v, L)$: the Myerson value, that takes into account the communication structure;
 - $\phi(v)$: the Shapley value, that disregards completely the information provided by the graph L .

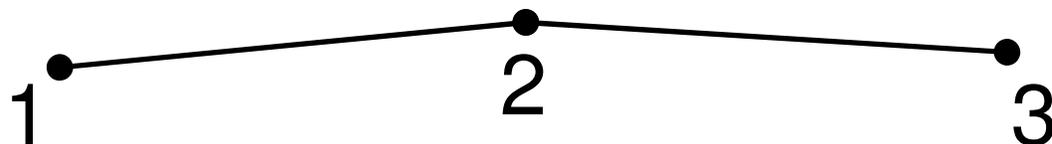
Games and Centrality

- The centrality of a node refers to the *variation* in power due to the social situation (represented by the graph),
- the power is measured using game theory
- More precisely, it is the Shapley value of a game that is used as a power index.
- Gómez et al. (2003) describe general properties of their centrality measure, and in particular, how the abstract structure of the graph influences it.

Example

A weighted majority game $(\{1,2,3\}, v)$ with the winning quote fixed to $2/3$ is considered. The votes of players 1, 2, and 3 are, respectively, 40%, 20%, and 40%. Then, $v(1,3)=v(1,2,3)=1$ and $v(S)=0$ for the remaining colitions.

The communication network is



We have seen that

$\phi(v)=(1/2,0,1/2)$ and $\mu(N,v,L)=\phi(v^L)=(1/3,1/3,1/3)$.

So, the centrality value is $1/3$ for player 2 and $-1/6$ for 1 and 3.